

Appendix 2 to PIPOT Guide

MANAGING CONCERNS AND ALLEGATIONS AGAINST PEOPLE WHO WORK WITH ADULTS WITH CARE AND SUPPORT NEEDS Process for dealing with the concern about the person in a position of trust (POT concern) Concern can be identified or raised in different ways e.g. Concern raised e.g. member of the e,g. concern is raised e.g. Information 90 through partner / public or other 3rd through adult shared by LADO agency duties. party informs the uarding referral/ rough Childrens information engulry processes e.g Police. partner / agency. Concern or allegation is identified or received by a relevant partner*, or agency member of the local Safeguarding Adults Board. Whose The DATA OWNER is identified. (This will usually be the relevant partner, or SAB member agency who first receives the information). The DATA OWNER be shar must decide whether it will disclose the information to the employee/volunteer's employer information & any relevant others. the characteristics -Can Unless it puts an adult or a child in danger, the Data owner makes a clear employee/volunteer should be informed what record giving the rationale Decision information about them will be shared, wherever of why the information possible seek their consent to share the information, has not been shared. and be given a right to reply. The Data Owner considers making a The Data Owner shares information with the actions notification of the disclosure to the employer (or volunteering manager). relevant commissioning agency and/or regulatore.g. - Clinical Commissioning Group when the Employer The employer (or volunteering manager) assesses the risk, employee/volunteer is employed in the NHS, and may investigate allegations through internal - Local Authority when employed in a employment processes where it is appropriate to do so. commissioned social care provider service, CQC when employed in a CQC regulated management / service

The employer (or volunteering manager) takes risk management actions as appropriate to the individual case.

(e.g. increased supervision or monitoring, disciplinary or dismissal.

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Referrals to other agencies-DBS, professional bodies like the HCPC, actions as part of regulatory and contract monitoring processes.

regulated service.

- OfSTED when employed in an OfSTED

Commissioning and regulatory

agencies can check/oversee employer

NB. * "relevant partners" as defined in Section 6, Care Act 2014.